

RESOLUTIONS ADOPTED IN THE THIRD MEETING OF THE EXECUTIVE COMMITTEE OF ASSAM SOCIETY FOR COMPREHENSIVE FINANCIAL MANAGEMENT SYSTEM(AS-CFMS) HELD ON 07/02/2018 AT 4:30 PM UNDER THE PRESIDENSHIP OF THE CHIEF SECRETARY TO THE GOVT OF ASSAM ANDPRESIDENT, EXECUTIVE COMMITTEE, AS-CFMS IN THE CONFERENCE HALL OF THE CHIEF SECRETARY

Members present:

1. Shri V.K. Pipersenia, IAS, Chief Secretary to the Govt of Assam and President, EC, AS-CFMS.
2. Shri Samir Kumar Sinha, IAS, Principal Secretary to the Govt of Assam, Finance Department and Member, EC, AS-CFMS.
3. Shri Anurag Goel, IAS, SPD, AS-CFMS& Commissioner Taxes and Special Invitee,EC, AS-CFMS.
4. Shri Shyam Jagannathan, IAS, Commissioner & Secretaryto the Govt of Assam, Finance Department and Member Secretary, EC, AS-CFMS.
5. Shri KK Nath, ISS, Secretary, Transformation& Development Department and Member, EC, AS-CFMS
6. Shri Nitin Kumar Shivdas Khade, IAS, Secretary, Information Technology Department and Special Invitee, EC, AS-CFMS
7. Shri Rakesh Kumar, IAS, Commissioner, Excise and Special Invitee, EC, AS-CFMS
8. Shri Bidyut Dekaraja, Director, Accounts & Treasuries, Assam and Special Invitee, EC, AS-CFMS
9. Shri Giridhar Bhuyan, Director, Audit(Local Fund), Assam and Special Invitee, EC, AS-CFMS
10. Shri G.G. Das, Dy Director, Financial Inspection and Special Invitee, EC, AS-CFMS

At the outset Shri Kailash Karthik, IAS, Addl. Project Director, AS-CFMS welcomed the President, Members and Special Invitees of Executive Committee, AS-CFMS.

This was followed by a presentation by regarding the brief introductory note about the project, its history, objectives, administrative structures and the action taken on the resolutions of the 2ndEC meeting held on 04/01/2017.The EC noted the action taken report.

The agenda was taken up subsequently and based on detailed discussion and deliberations, the following resolutions were taken:

- 3.01 ASPIRe Project Negotiated, approved by Board and Loan Signed:** The Addl. Project Director apprised the Executive Committee regarding the loan signing of ASPIRe Project on 27/06/2017. Subsequently after taking legal opinion the Project was declared effective w.e.f. 25/09/2017.

Decision: The Executive Committee noted the same.

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27/2/18

3.02 Position of Sr Developer for PIU, DoAT: The Addl. Project Director apprised the EC regarding the need of Sr Developer in PIU, DOAT which has been proposed considering the support that would be required post implementation of GRAS and other existing modules such as PFMS, eKuber, Finassam, etc. Proposed remuneration for the same has been considered about Rs. 50,000 per month

Decision: The Executive Committee approved the hiring of the manpower on contract basis for DoAT for one year after following due procedure. [Action: PIU(DoAT), AS-CFMS]

3.03 Creation of e-Procurement, GeM and SPPP unit under AS-CFMS: The Addl Project Director, AS-CFMS informed the EC about the need for creation of a State Public Procurement Portal as mandated by the Assam Public Procurement Act 2017, either by making minor changes to the existing e-Procurement portal or by developing a separate portal and linking it to the e-Procurement portal. Secondly, the EC was apprised regarding the need to have an e procurement cell comprising programmer and a manager housed under AS CFMS. Thirdly adoption of GeM being at the priority list of Govt. of India as well as Govt. of Assam. In view of the above, the Addl. Project Director, AS-CFMS proposed to the EC that an e-procurement, GeM and SPPP support unit may be created under ASCFMS

Decision: The Executive Committee approved the same and directed the Society to undertake the process of engagement.

3.04 Drafting Assam Public Procurement Rules, 2017: The Addl Project Director, AS-CFMS apprised the EC regarding the draft Assam Public Procurement Rules prepared in consultation with a task force comprising members from different departments of Govt. of Assam, representatives from NIC and Assam Institution of Management, which was then discussed in presence of the World Bank Public Procurement experts and with the approval of competent authority was sent to 25 Administrative departments for their comments in addition to being placed in the public domain. The views to be examined and placed in the next Honble Cabinet after being vetted by Legislative Department.

Decision: The Executive Committee noted the same and requested the Society to notify the rules at the earliest.

3.05 Post facto approval of engagement of Advisor-Procurement Rules and SBDs: The Addl Project Director, AS-CFMS sought post facto approval from the EC regarding the engagement of Shri. S P Nandy (IAS Retd.) as an individual consultant for drafting the procurement rules in the capacity of Advisor, Procurement Rules & SBDs for a period of one year at a remuneration of Rs.15 Lakh per year (Rs.1.25 Lakh per month).

Secondly, the Addl. Project Director AS-CFMS sought approval from the EC for engaging PwC (existing FMIS Consultant) at a cost of Rs. 23,12,500/-, to prepare the Standard Bidding Documents, and a possible expansion of their scope of work for which the World Bank provided their No Objection.

Decision: EC accorded the post facto approval for the engagement as well as additional activity to be undertaken by PwC.

- 3.06 **Amendment to HR Manual:** The Addl. Project Director AS-CFMS sought EC approval on the draft HR Manual of AS-CFMS which has been drafted broadly in the lines of ARIAS Society. The need of the amendment to the HR manual was in view of attracting best talents from the market as well as to incentivize the Government employees to work for such projects.

Decision: EC approved the HR Manual of the Society.

- 3.07 **Setting up of Cash and Debt Management Unit:** The Addl. Project Director AS-CFMS apprised the EC about the setting up of Cash and Debt Management Unit being under process. Already an advisor Cash and Debt management Sri. L. Lakshmanan has been onboarded who has been primarily working with Finance (Economic affairs) dept in terms of capacity building as well as improvement of IT Systems.

Decision: The Executive Committee noted the same.

- 3.08 **ICT Infrastructure Specialist for PMU:** The Addl. Project Director AS-CFMS apprised the EC regarding the process of recruitment of IT Manager as per approval of 2nd Executive Committee Resolution 2.24(2). Further the post of an ICT Infrastructure Specialist was also advertised along with IT Manager with due approval of President EC, AS-CFMS. EC is requested to accord post facto approval for the same.

Decision: The Executive Committee gave post-facto approval for the ICT infrastructure specialist and directed to onboard both IT Manager and the ICT infrastructure specialist after following due procedure.

- 3.09 **Business Intelligence(BI) & Analytics Dashboard Solution Provider:** The Addl. Project Director apprised that as the Finance Department is gearing for new FMIS Solution, it would be useful to familiarize decision makers with Dashboard and Analytical tools so that it helps them to take prudent decisions. In this regard, engagement of BI & Analytics Dashboard Solution provider was conceived. The EoI was floated after taking approval from President, EC, AS-CFMS. The mode of selection was Consultant's Qualification Based Selection(CQS) as per World Bank procurement norms and subsequently M/s Peeply Technologies Pvt. Ltd. being qualified for the technical negotiation. The Post Facto approval is sought for the procurement activity.

Decision: The Executive Committee gave post-facto approval for the same

- 3.10 **Communications Consultant:** The procurement of a Communications Consultant (Individual Consultant) by the project as per CS-34 of the procurement plan, which was later as a decision taken in the 2nd internal review meeting ASPIRe changed to a consultancy rather than an individual due to requirement of multiple expertise required for the work. Subsequently, after obtaining clearance from World Bank and approval from President EC, AS-CFMS the EoI were floated as per World Bank procurement norms. Rank One bidder (IMaCS) got the invitation for technical negotiation as per the Evaluation Committee report. Post Facto approval is sought for the activity.

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Decision: The Executive Committee gave post-facto approval for the same

- 3.11 **DBT/PFMS Rollout Plan:** The Addl. Project director AS-CFMS apprised the EC regarding the onboarding of a consultancy firm to set up the DBT PMU within AS-CFMS through the NICSI route, an activity to be undertaken by AS-CFMS and would not be claimed for reimbursement through World Bank. Secondly, AS-CFMS considering the signing of an MoU with CDFI(Centre for Digital Financial Inclusion) a Not for Profit Organization as Knowledge and Innovation Partner for driving Digital Financial Inclusion as a part of direct benefit transfer initiative of Government, for which a draft MoU has been vetted by Legislative Department and the Finance Department planning to sign the MoU along with DBT Seminar for line departments

Decision: The Executive Committee noted the same and directed the society to streamline the DBT process in the state.

- 3.12 **Establishment of an Office for AS-CFMS:**The Addl. Project director AS-CFMS sought Post facto approval for the evaluation of EoI which was floated as per 1st Executive committee resolution 1.05 and make necessary arrangement for the shifting to new office.

Decision: Committee gave the post facto approval for Establishment of an Office for AS-CFMS.

- 3.13 **Training and Exposure Visits:** The Addl. Project Director AS-CFMS apprised the EC about the training & exposure visits undergone by the officials of different departments within the State of Assam and outside the State under AS-CFMS. These trainings were mostly attended by the PMU and PIU members under the components of ASPIRe.

Decision: The Executive Committee noted the same and gave post facto approval

- 3.14 **Expenditure Reports committed:** The Addl. Project Director AS-CFMS apprised the EC on the Expenditure Reports till Nov 2017 by the society

Decision: The Executive Committee noted the same

- 3.15 **Procurement Plans and AWP for the year 2017-18 & 2018-19 placed for the appraisal of EC:** Addl. Project Director AS-CFMS briefed on the procurement activities as per the procurement plan and Annual Work plan.
DECISION: the EC noted the same.

- 3.16 **Support Staff appointment under AS-CFMS:** The Addl. Project Director AS-CFMS sought post facto approval for the appointment of the 3 Support Staff i.e One Office Assistant (general), One office assistant (accounts) and one driver under AS-CFMS consequent upon approval of HR Policy in the 2nd Executive Committee vide Resolution no 2.02

Decision: The Executive Committee gave post-facto approval for the same

- 3.17 **Extension of Services and Increment of Software Developers of DoAT and CoT-PIUs:** The Addl. Project Director AS-CFMS sought approval for Extension of Services and Increment of Software Developers of DoAT and CoT-PIUs for another year w.e.f 01/02/2018 for the

Developers engaged under DoAT and 6 months w.e.f 01/02/2018 and also consideration for increment w.e.f 01/02/2018.

DECISION: EC approved the extension and also considered for the increment while extending the agreement

- 3.18 **Recruitment of an e-Procurement Project Manager on Contractual Basis:** The Addl. Project Director briefed the EC regarding the engaging of e-Procurement Project manager for upscaling the e-Procurement portal, in this regard Shri Abhirup Ghosh was mentioned as a potential candidate by the senior technical director NIC, Chennai who was further interviewed by Dr. Ramanathan, senior e-Procurement consultant and found to be suitable for the proposed post. He was onboarded on a Contractual Basis with a remuneration @ Rs 60,000 per month for a period of one year.

Decision: EC approved the engagement.

- 3.19 **Contract extension of Dr.Ramanathan as Sr. e-Procurement Consultant:** The Addl. Project Director placed before the EC regarding approval for Contract extension of Dr. Ramanathan as Sr. e-Procurement Consultant by 6 months at the same rate i.e Rs.14, 000 per day was made.

Decision: The Executive committee approved the proposal.

- 3.20 **Engagement of Statutory Auditor for audit of Annual Accounts of AS-CFMS for the years 2016-17 & 2017-18:** The Addl. Project Director AS-CFMS sought approval to engage the Statutory Auditor for audit of Annual Accounts of AS-CFMS, the society desires to float the EoI as per World Bank Procurement Norms for the same.

Decision: EC gave approval for the same

- 3.21 **Creation of Posts under AS-CFMS:** The Addl. Project Director proposed regarding authorizing Project Director to create posts to be filled up by attachment/deputation as per the approved HR Manual.

Decision: The President suggested to create more posts as per requirement.

- 3.22 **Merging of eGovernance Unit, Finance Department:** The Addl. Project Director placed before EC regarding merging of eGovernance unit with AS-CFMS.

Decision: The Committee noted the same

- 3.23 **Creation of a separate bank account for the Non-ASPIRe functions of the society:** The Addl. Project director placed before the EC regarding allowing the creation of a separate bank account for the Non-ASPIRe related functions of the society.

Decision: the Executive Committee allowed Society to operate an additional bank account for Non ASPIRe activities under AS-CFMS

- 3.24 **Creation of Assam Public Finance Management Institute:** The Addl. Project Director placed before the EC to allow the society to establish an Assam Public Finance Management

Institute under AS-CFMS as per Sub Component 1.5 Training and Capacity building under World Bank aided ASPIRe Project and appoint a Director(Training) as per World Bank procurement norms.

Decision: The President of the Committee suggested that the 'Centre for Financial Management' at the Assam Administrative Staff College, Khanapara, be brought under ASPIRe, so that the existing infrastructure may be fully utilized for capacity building purposes. He also opined that having only a Director will not be fruitful and that a full component of trainers as team may be taken and the designation 'Director' to be changed to some other designation such as HR Expert/FR expert/Change Management Expert. This may perhaps be accepted.

- 3.25 **Implementation of GRAS(Government Receipt Accounting System) as Common Collection portal for State of Assam:** The Addl. Project Director sought Post facto approval for single source of the Security Audit vendor under Implementation of GRAS (Government Receipt Accounting System) as Common Collection portal for State of Assam was sought. The president EC, AS-CFMS while seeking approval for the activity in file asked the Society to explore NICS route. The society requested NIC, Assam to obtain NICS PI. Further, to support NIC, Pune in customizing GRAS for Assam, Shri Saikh Hadipasha Ajamoddin a Software Developer has been engaged after interview being conducted by NIC Pune, under ASPIRe project for One Year.

Decision: Postfacto approval for procurement of the Security Audit vendor and post facto approval for selection of software developer was given by the EC

- 3.26 **Misc Items for Discussions:** The Addl. Project Director proposed regarding the following
- Hiring of Vehicle: Society desires to hire two vehicles as per the discovered rates of Finance (Economic Affairs)
 - Residential Arrangement : Society desires to reimburse on actual upto the amount of Rs 25,000/- per month towards the accommodation of Senior officers of the Society such as Project Director/Addl Project Director/Director/CEO etc

Decision: The Executive Committee members accepted the proposal

- 3.27 **Engagement of Two Software Developers in Excise PIU**
The Addl. Project director placed before the EC for approval of engaging two Software Developers for maintaining the existing portal of the Commissionerate of Excise developed through AMTRON and with the department finalizing the DPR and RFP being under process for the world bank aided eGovernance project, support the department in training the modules and resolving software issues faced by the officials and the citizens.

Decision: EC accorded approval for the same

- 3.28 **LEAP(Lead Externally Aided Project) under AS-CFMS:** The Addl. Project Director apprised EC regarding LEAP Cell being formed under Finance dept. through NICS Route to coordinate with External Funding Agencies to explore potential partnership possibilities and manage external assistance right from project conceptualization to monitoring and evaluation, and including all the necessary co-ordination and liaising with state level and Government of India (GoI) level departments & agencies. Based on the technical evaluation by the committee constituted by Finance Department, Government of Assam, M/s Pricewaterhouse Coopers Pvt. Ltd. (PwC) was selected for this engagement and the project commenced on 16th February, 2017. The tenure of the engagement is for a period of one year extendable by two more years as per the terms and conditions of NICS, applicable on

the date of renewal. This engagement is being funded by the Government of Assam. In this regard, for better synergy and operational efficiency, the LEAP Cell of the Finance Department may be subsumed under the AS-CFMS Society which is spearheading all the public financial management reform as all other aspects of EAP has been transferred to T& D Department except the Issue of Debt Sustainability aspects of EAP and LEAP is still under Finance Department. EC is requested to approve the arrangement proposed and extend the engagement.

Decision : EC approved the extension of the activity for another one year as well as bringing the same under AS-CFMS.

In this regard, EC is requested to allow Society to recruit one Operational Manager for the Society.

3.29 **Recruit one Human Resource Manager for the Society:** The Addl. Project Director expressed the requirement for a HR Manager to maintain the deliverables from individual consultants, assess the need for extension of the services of consultants, helping in recruitment process, mandatory compliance for the employment etc.

Decision: EC approved the same

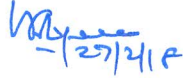
Recruitment of Operational Manager: The Addl. Project Director expressed the requirement for an Operational Manager to manage the day to day activities of the Society, including conduct of EC, GB, coordinating with PIUs etc

Decision: EC approved the same

3.31 **Extension of FMIS Contract:** The Addl. Project Director AS-CFMS placed before the EC regarding approval of the Extension of FMIS Contract considering the achievements of DLLs, with a Financial implication amounting to Rs 78,46,591/- agreed to by World Bank

Decision: Executive Committee gave their approval for the same.

After adopting the foregoing resolutions, the meeting ended with vote of thanks from the chair.


V.K. Pipersenia, IAS
President, AS-CFMS
& Chief Secretary, Assam